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#### **Declaration for Patent Application**

Docket Number: 1700.0350002/BJD/SJE

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled: Amyloid  $\beta$ 1-6 Antigen Arrays,

the specification of which is attached hereto unless the following box is checked:

was filed on July 18, 2003; as United States Application Number 10/622,087; and was amended on (if applicable).						
	y state that I have reviewed ed by any amendment referr	and understand the contents of the ed to above.	above identified specification, in	cluding the cla	ims, as	
continu	ation-in-part applications, r	information that is material to pate naterial information that became av nal filing date of the continuation-in	ailable between the filing date of	§ 1.56, includi the prior appl	ng for ication	
patent, at least box, an	I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or § 365(a) of any PCT international application, which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or PCT international application having a filing date before that of the application on which priority is claimed.					
Prior F	oreign Application(s)			Priority (	Claimed	
(Applic	cation No.)	(Country)	(Day/Month/Year Filed)	□ Yes	□ No	
(Applic	cation No.)	(Country)	(Day/Month/Year Filed)	□ Yes	□ No	
Send Correspondence to:  Sterne, Kessler, Goldstein & Fox P.L.L.C.  1100 New York Avenue, N.W.  Washington, D.C. 20005-3934						
Direct '	Telephone Calls to:	(202) 371-260	0			

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	Martin F. BACHMANN	
Signature of sole or first inventor	1. D. Q	29,10,2003 Date
Residence	Seuzach, Switzerland	
Citizenship	Swiss	
Mailing Address	Goldackerweg 8 CH-8472 Seuzach SWITZERLAND	
Full name of second inventor	Alain TISSOT	
Signature of second inventor	11 35000	Date 31.10.2003
Residence	Zürich, Switzerland	
Citizenship	Swiss	
Mailing Address	Segantinistrasse 35 CH-8049 Zürich SWITZERLAND	
		·
Full name of third inventor	Rainer ORTMANN	
Signature of third inventor		Date ·
Residence	Saint Louis, France	
Citizenship	Germany	
Mailing Address	3, Rue de L'amitié F-68300 Saint Louis FRANCE	

Appl. No. 10/622,087 Docket No. 1700.0350002/BJD/SJE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	Martin F. BACHMANN	
Signature of sole or first inventor		Date
Residence	Seuzach, Switzerland	
Citizenship	Swiss	
Mailing Address	Goldackerweg 8 CH-8472 Seuzach SWITZERLAND	-
		ā <sub>l</sub>
Full name of second inventor	Alain TISSOT	
Signature of second inventor		Date
Residence	Zürich, Switzerland	
Citizenship	Şwiss	
Mailing Address	Segantinistrasse 35 CH-8049 Zürich SWITZERLAND	·
Full name of third inventor	Rainer ORTMANN	
Signature of third inventor	Rauil ortman	01 (06/2064
Residence	Saint Louis, France	
Citizenship	Germany	
Mailing Address	3, Rue de L'amitié F-68300 Saint Louis FRANCE	

Appl. No. 10/622,087 Docket No. 1700.0350002/BJD/SJE

Full name of fourth inventor	Rainer LŰÖND	
Signature of fourth inventor	give brond	12. Dec 2003 Date
Residence	Therwil, Switzerland	
Citizenship	Swiss	
Mailing Address	Felsplattenstrasse 33 CH-4106 Therwil SWITZERLAND	
Full name of fifth inventor	Matthias STAUFENBIEL	:
Signature of fifth inventor		Date
Residence	Lorrach, Germany	
Citizenship	Germany	
Mailing Address	Müllerweg 7 D-79541 Lonach GERMANY	; ;
Full name of sixth inventor	Peter FREY	:
Signature of fifth inventor		Date
Residence	Bern, Switzerland	
Citizenship	Switzerland	
Mailing Address	Sulgenauweg 45 CH-3007 Bcm SWITZERLAND	

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#### POWER OF ATTORNEY FROM ASSIGNEE

1/20/04

Cytos Biotechnology AG, a corporation of Switzerland, having a principal place of business at Wagistrasse 25, CH-8952 Zürich-Schlieren, Switzerland, is assignee of the entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100), by reason of an Assignment to the Assignee executed on (1) 10 29 (03 and (2) 10 31 03 of an invention known as Amyloid β1-6 Antigen Arrays (Attorney Docket No. 1700.0350002/BJD/SJE), which is disclosed and claimed in a patent application of the same title by the inventors (1) Martin F. BACHMANN and (2) Alain TISSOT (said application filed on July 18, 2003 at the U.S. Patent and Trademark Office, having Application Number 10/622,087).

A.D. 1/20/04

The Assignee hereby appoint the U.S. attorneys associated with CUSTOMER NUMBER 28393 to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith, such attorneys currently being: Robert Greene Sterne, Esq., Reg. No. 28,912; Edward J. Kessler, Esq., Reg. No. 25,688; Jorge A. Goldstein, Esq., Reg. No. 29,021; David K.S. Cornwell, Esq., Reg. No. 31,944; Robert W. Esmond, Esq., Reg. No. 32,893; Tracy-Gene G. Durkin, Esq., Reg. No. 32,831; Michele A. Cimbala, Esq., Reg. No. 33,851; Michael B. Ray, Esq., Reg. No. 33,997; Robert E. Sokohl, Esq., Reg. No. 36,013; Eric K. Steffe, Esq., Reg. No. 36,688, Michael Q. Lee, Esq., Reg. No. 35,239; Steven R. Ludwig, Esq., Reg. No. 36,203; John M. Covert, Esq., Reg. No. 38,759; Linda E. Alcorn, Esq., Reg. No. 39,588; Lawrence B. Bugaisky, Esq., Reg. No. 35,086; Donald J. Featherstone, Esq., Reg. No. 33,876; Robert C. Millonig, Esq., Reg. No. 34,395; Michael V. Messinger, Esq., Reg. No. 37,575; Judith U. Kim, Esq., Reg. No. 40,679; Timothy J. Shea, Jr., Esq., Reg. No. 41,306; and Patrick E. Garrett, Esq., Reg. No. 39,987. The Assignee hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

Send correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, N.W. Washington, D.C. 20005-3934 U.S.A.

FOR: Cytos Biotechnology AG

SIGNATURE:

BY: Wolfgang A. Renner

TITLE: CEO

DATE: 31, 10, 2003

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STATEMENT UNDER 37 C.F.R. 3.73(b)					
STATEMENT UNDER 37 C.F.R. 3.73(b)  Spiplicant Owner: Bachmann et al.					
Applicant Owner: Bachmann et al.					
Application No.: 10/622,087 Filed: July 18, 2003					
Entitled: Amyloid β1-6 Antigen Arrays					
Entitled: Attiyloid p1-6 Antigen Arrays					
Cytos Biotechnology AG (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)					
states that it is:					
1.       the assignee of the entire right, title, and interest, or					
2. □ an assignee of less than the entire right, title and interest.					
The extent (by percentage) of its ownership interest is%					
in the patent application/patent identified above by virtue of either:					
A.   An Assignment from the inventors of the patent application/patent identified above. The assignment we recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof attached.  OR					
B.   A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:					
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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original					
document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.8]					
assignment is to be recorded in the records of the Fro. See M. 21 302.0]					
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.					
31.40.2003 Wolfgang A. Renner					
31, 10, 2003 Wolfgang A. Renner  Date Typed or printed name					
01/733 47 47					
Telephone number Signature					
V .					

This collection of information is required by 37 CFR 1.3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### **ASSIGNMENT**

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: (1) Martin F. BACHMANN and (2) Alain TISSOT, the undersigned inventors hereby sells and assigns to Cytos Biotechnology AG (the Assignee) his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box(es)

☑ for the United States of America (as defined in 35 U.S.C. § 100),

☑ and throughout the world,

120/04

(a) in the invention known as Molecular Antigen Arrays for which application for patent in the United States of America has been executed by the undersigned on (1) 10/29/03 and (2) 10/31/03(also known as United States Application No. 10/622,087, filed July 18, 2003), in any and all applications thereon, in any and all Letters Patent(s) therefor, and

PSA 1120/04

- (b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and
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- (c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventors agree to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned inventors agree to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

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NOT FOR RECORDATION

The undersigned inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventors hereby grant Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29,021; David K.S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Esquire, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831; Michael A. Cimbala, Esquire, Registration No. 33,851; Michael B. Ray, Esquire, Registration No. 33,997; Robert E. Sokohl, Esquire, Registration No. 36,013; Eric K. Steffe, Esquire, Registration No. 36,688; Michael Q. Lee, Esquire, Registration No. 35,239; Steven R. Ludwig, Esquire, Registration No. 36,203; John M. Covert, Esquire, Registration No. 38,759; Linda E. Alcorn, Esquire, Registration No. 39,588; Lawrence B. Bugaisky, Esquire, Registration No. 35,086; Donald J. Featherstone, Esquire, Registration No. 33,876; Robert C. Millonig, Esquire, Registration No. 34,395; Michael V. Messinger, Esq., Registration No. 37,575; Judith U. Kim, Esq., Registration No. 40,679; Timothy J. Shea, Jr., Esq., Registration No. 41,306; and Patrick E. Garrett, Esq., Registration No. 39,987; all of STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C., 1100 New York Avenue, N.W., Washington, D.C. 20005-3934, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her name.

Date: 29.10.2003

Signature of Inventor:

Martin F. BACHMANN

Date: 31, 10, 2003

Signature of Inventor:

Alain TISSOT

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STATEMENT UNI Applicant Owner: Bachmann et al. Application No.: 10/622.087 Fi Entitled: Amyloid β1-6 Antigen Arrays  Novartis Pharma AG , a  (None of Antigen) tates that it is:  Δ the assignee of the entire right, title, and interest	Corporation (Type of Assignce, e.g., exporation, parmership, university, government agency, etc.)
Novartis Pharma AG (Nome of Assignac) tates that it is:	Corporation (Type of Assignce, e.g., exporation, parmership, university, government agency, etc.)
Novartis Pharma AG , a (None of Anigote) tates that it is:	(Type of Assignee, e.g., corporation, parmership, university, government agency, etc.)
Novartis Pharma AG , a (None of Anigote) tates that it is:	(Type of Assignee, e.g., corporation, parmership, university, government agency, etc.)
(Name of Assigner) tates that it is:	(Type of Assignee, e.g., corporation, parmership, university, government agency, etc.)
	t, or
	5 Ot
. a me assignee of the entire right, due, and micros	
. o an assignee of less than the entire right, title and	l interest.
The extent (by percentage) of its ownership inte	
n the patent application/patent identified above by vir	tue of either:
An Assignment from the inventors of the patent recorded in the Patent and Trademark Office at	application/patent identified above. The assignment was Reel, Frame, or for which a copy thereof is
attached.	• • • • • • • • • • • • • • • • • • • •
OR .	
3. A chain of title from the inventor(s) of the paten assignee as shown below:	at application/patent identified above to the current
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The document was recorded in the Pate	ent and Trademark Office at
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	or for which a copy mercor is attached.
□ Additional documents in the chain of title are	listed on a supplemental sheet.
Copies of assignments or other documents in the cha-	in of title are attached.
[NOTE: A separate copy (i.e., the original assign	nment document or a true copy of the original
document) must be submitted to Assignment Divassignment is to be recorded in the records of the	vision in accordance with 37 CFR Part 3, if the
he undersigned (whose title is supplied below) is emp	
12.01.2004	Novartis AG
Date	- Typed or printed name
061/324 40 35	Typed of printed name

Hans-Rudolf Haus Kornelia Mennle
Patent and Trademark Administrators

Signature

This collection of Information is required by 37 CFR 1.3.73(b). The information is required to obtain or retain a benefit by the public which is to fite (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Telephone number

#### **ASSIGNMENT**

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: (1) <u>Rainer ORTMANN</u>, (2) <u>Rainer LÜÖND</u>, (3) <u>Matthias STAUFENBIEL</u> and (4) <u>Peter FREY</u>, the undersigned inventors hereby sells and assigns to <u>Novartis Pharma AG</u> (the Assignee) his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box(es)

- ☑ for the United States of America (as defined in 35 U.S.C. § 100),
- and throughout the world,
- (a) in the invention known as <u>Molecular Antigen Arrays</u> for which application for patent in the United States of America has been executed by the undersigned on (1)  $\frac{1}{6} \frac{1}{6} \frac{1}{6$

1/20/04

- (b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and
- (c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

TO ASSIGNMENT BRANCH NOT FOR RECORDATION

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

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IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her

Date: O | 06 | 200 4 Signature of Inventor: Rainer ORTMANN

Date: Signature of Inventor: Rainer LÜÖND

Date: Signature of Inventor: Marthias STAUFENBIEL

Date: Signature of Inventor: Peter FREY

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IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her name.

Date:		Signature of Inventor:	Rainer ORTMANN	
Date∷	12 Dez. 2003	Signature of Inventor.	Rainer LUOND	: .
Date:			Matthias STAUFENBIEL	
Date:		Signature of Inventor:	Peter FREY	:

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The undersigned inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventors hereby grant Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29.021: David K.S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Esquire, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831; Michele A. Cimbala. Esquire, Registration No. 33,851; Michael B. Ray, Esquire, Registration No. 33,997; Robert E. Sokohl, Esquire, Registration No. 36,013; Eric K. Steffe, Esquire, Registration No. 36,688; Michael Q. Lee, Esquire, Registration No. 35,239; Steven R. Ludwig, Esquire, Registration No. 36,203; John M. Covert Esquire, Registration No. 38,759; Linda E. Alcom, Esquire, Registration No. 39,588; Lawrence B. Bugaisky, Esquire, Registration No. 35,086; Donald J. Featherstone, Esquire, Registration No. 33,876; Robert C. Millonig, Esquire, Registration No. 34,395; Michael V. Messinger, Esq., Registration No. 37,575; Judith U. Kim, Esq., Registration No. 40,679; Timothy J. Shea, Jr., Esq., Registration No. 41,306; and Patrick E. Garrett, Esq., Registration No. 39,987; all of STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C., 1100 New York Avenue, N.W., Washington, D.C. 20005-3934, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her name.

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